# Immune phenotypes derived from H&E-stained whole slide images correlate with prognosis and response to checkpoint inhibitors in NSCLC

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## **STUDY BACKGROUND**

- The classification of tumors as inflamed, excluded or desert based on spatial patterns of tumor infiltrating lymphocytes (TILs)<sup>1</sup> is a potential biomarker of patients likely to respond to checkpoint inhibitors (CPI)<sup>2.</sup> However, the subjectivity of manual methods to assess these immune phenotypes (IPs) and poor standardization in the methods and thresholds to define IPs have hampered their clinical adoption<sup>3,4</sup>.
- Here, we describe a data-driven approach to inform IP threshold selection based on predicted lymphocyte densities in patches of hematoxylin and eosin (H&E)-stained whole slide images (WSI) by maximizing differences in overall survival (OS) between IPs.

# METHODS

#### <u>Datasets</u>

- H&E-stained WSI (N=4,082) from multiple datasets from the cancer genome atlas (TCGA; COAD, READ, SKCM, PRAD, ESCA, STAD, PAAD, BRCA, KICH, KIRC, KIRP, LUAD, LUSC)<sup>5</sup> were used to determine thresholds for IPs.
- Two cohorts of patients with NSCLC were used to assess the clinical implications of IPs predicted by our approach: 1) TCGA cohort, consisting of LUAD (N=459) and LUSC (N=424) and 2) a clinical cohort consisting of PD-(L)1 inhibitor-treated NSCLC patients (N=95) enrolled in the BIP precision medicine study (NCT02534649; Institut Bergonié, Bordeaux, France).

Immune phenotype prediction

- A model to classify the IPs of NSCLC samples from H&E images was developed using PathExplore<sup>6</sup> models as described in Fig. 1.
- Lymphocyte densities were extracted for 0.01 mm<sup>2</sup> patches tiled across WSI. Cut-offs to define cancer epithelium and cancer stroma patches as hot or cold were defined based on the 75<sup>th</sup> and 50<sup>th</sup> percentiles, respectively, of lymphocyte densities in cancer epithelium and cancer stroma (Fig. 2A,B).
- Hierarchical fitting yielded optimal thresholds in cancer epithelium and cancer stroma (Fig. 2C) that minimize p-values of OS differences between IPs.

Figure 2. Threshold identification for distinguishing hot and cold patches.



Selected lymphocyte density thresholds in (A) cancer epithelium (75th percentile across all sampled patches from all indications) and (B) cancer stroma (50th percentile across all sampled patches from all indications) for distinguishing hot and cold patches. C) Thresholds (dashed lines) were selected to minimize the p-values of OS differences between IPs.

#### Exploratory Analyses

- Model-predicted IPs were compared to progression-free survival (PFS) and overall survival (OS) in both the TCGA and clinical cohorts. False discovery rate (FDR) correction was done with Benjamini-Hochberg.
- Survival was also assessed in the clinical cohort using PD-L1 tumor proportion score (TPS), iIP status, and TIL density as covariates. 
   Table 1. Thresholds chosen for IP prediction in NSCLC.

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Model Predicted IP	Criteria
Inflamed (iIP)	>40% hot patches in cancer epithelium
Excluded (eIP)	$\leq$ 40% hot patches in cancer epithelium; >45% hot patches in cancer stroma
Desert (dIP)	$\leq$ 40% hot patches in cancer epithelium; $\leq$ 45% hot patches in cancer stroma

American Society of Clinical Oncology Annual Meeting, May 31-June 4, 2024, Chicago, IL, USA

**ACKNOWLEDGMENTS:** 



### Key Results:

In the TCGA NSCLC cohort, model-predicted iIP and eIP patients had significantly better OS compared to dIP (HR=0.53, p=0.003 and HR=0.59, p=0.003, respectively; Fig. 4A). In the clinical cohort, PFS was significantly shorter in model-predicted eIP patients compared to iIP (HR=0.54, p=0.045; Fig. 4B). Lymphocyte density in cancer epithelium and fraction of hot cancer epithelial patches were significantly associated with PFS (HR=0.64, q=0.04 and HR=0.69, q=0.04, respectively; Table 2). Notably, in PD-L1 (-) patients (N=43, TPS <1%), iIP patients (orange line) had longer PFS than eIP and dIP patients (blue line; HR=0.35, p=0.02; Fig. 6B, C). No difference in PFS was observed for PD-L1 (+) patients (N=43, TPS >1%).



TCGA and clinical cohorts.





Cox regression using predicted IPs was used to predict PFS and OS in A) the TCGA cohort and B) the clinical cohort, the latter of which consisted exclusively of CPI-treated patients.

#### Table 2. PFS regression results with covariates in clinical cohort. Features retaining significance after FDR correction are shown

Feature	р	q	HR (95% CI)		
mber of lymphocytes relative to all predicted cells in cancer helium	0.005	0.04	0.64 (0.46, 0.87)		
nsity of lymphocytes in cancer epithelium	0.006	0.04	0.64 (0.46, 0.88)		
centage of "hot" patches in cancer epithelium	0.007	0.04	0.69 (0.53, 0.90)		

# in the clinical cohort.





We thank the members of PathAI Pathology Network who contributed towards this work and GCI Health for assistance with figure design. Results shown here are in whole or in part based upon data generated by the TCGA Research Network: https://www.cancer.gov/tcga. This poster template was developed by SciStories LLC. https://scistories.com/

RESULTS

Figure 5. Association of immune phenotype with PD-L1 TPS

Most patients predicted to be noninflamed (dIP or eIP) show low PD-L1 levels (0-1% TPS). While most patients predicted to be iIP show high PD-L1 TPS (>1%), many still have low PD-L1 levels (0-1%).

Figure 6. Immune inflamed phenotype associates with improved PFS in CPI-treated NSCLC patients independent of PD-L1 status



	Covariate	р	HR (95% CI)
	PD-L1 Low	0.002	2.42 (1.38, 4.23)
Inclusion of hymphosyta	High TIL Density	0.06	0.62 (0.37, 1.03)
density in cancer epithelium	Prior treatment	0.53	0.93 (0.74, 1.17)
as covariate	Histology	0.83	0.95 (0.57, 1.59)
	Age	0.91	1.00 (0.97, 1.02)
	PD-L1 Low	0.002	2.38 (1.36, 4.16)
	ilP prediction	0.04	0.55 (0.32, 0.97)
Inclusion of iIP prediction as covariate	Prior treatment	0.49	0.92 (0.74, 1.16)
	Histology	0.64	0.88 (0.52, 1.49)
	Age	0.92	1.00 (0.98, 1.03)

Multivariable Cox regression using A) lymphocyte density binarized at the median cutoff or **B**) IP predictions as covariates was used to predict PFS in the clinical cohort. Lymphocyte density was binarized at the median value, while iIP patients were compared to non-inflamed (eIP and dIP). iIPinflamed status significantly correlates with better PFS (p=0.04). High lymphocyte density also correlates with better PFS but the effect does not reach statistical significance (p=0.06). C) Association between covariates and survival. Similar trends were observed for OS (data not shown).

### Abstract #8539



Slides are assigned a model-predicted IP based 6 on the percentage of "hot" patches in cancer epithelium and cancer stroma

# CONCLUSIONS

We developed a data-driven approach for

predicting IPs using patch-level lymphocyte

- densities in cancer epithelium and cancer stroma derived from H&E-stained samples. Model-predicted IPs associate with OS in the TCGA NSCLC dataset and with PFS in a CPItreated clinical NSCLC cohort. Association of IP and PFS was independent of PD-L1 status,
- potentially allowing the identification of PD-L1(-) patients who may derive greater benefit from CPI.

### AFFILIATIONS

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\* PathExplore is for research use only. Not for use in diagnostic procedures.



